

02-JUN 10:41 FR VON:WHE ED SMULDERS

+49 211 7988680 AN:+49 211 79814011

SEITE:01



Ullmann's Encyclopedia of Industrial Chemistry

Fifth, Completely Revised Edition

Volume A 5:

Cancer Chemotherapy to Ceramic Colorants

Executive Editor: Wolfgang Gerhartz

Senior Editor: Y. Stephen Yamamoto

Editors: F. Thomas Campbell; Rudolf Pfefferkorn,
James F. Rounseville

Henkel KGaa
Chem. Bibl.



BEST AVAILABLE COPY

1986

02-JUN 10:41 FR UDN:WAE ED SMULDERS

+49 211 7988688

AN: +49 211 79814011

SEITE: 82

Vol. A 5

Carbohydrates 79

Carbohydrates

The following are separate keywords: Cellulose, Cellulose Esters, Cellulose Ethers, Cellulose Fibers, Fructans, Glucuronic Acid, Glucose and Glucose-Containing Syrups, Lactose, Polysaccharides, Sorbans, Starch and Starch Derivatives, Sugar, Sugars, Xylooligosaccharides

Jochen Lippmann, Institut für Organische Chemie und Biochemie, Universität Freiburg, Freiburg, Federal Republic of Germany

Knut Rapp, Südzucker AG, Grana 31-Ohringen, Federal Republic of Germany

1. Monosaccharides	80	5. Reactions of Carbohydrates	85
2. Oligosaccharides	83	5.1. Reactions of the Hydroxyl Groups	87
3. Polysaccharides	83	5.2. Reactions of the Carbonyl Group	90
4. Nucleosaccharides	84	6. References	92

The term "carbohydrates" describes a major group of naturally occurring compounds of widely varying molecular mass. The family of more or less sweet-soluble, colorless products with physical, chemical, and physiological properties. They are often generically called "sugars," reflecting their relationship to "sugar," which is the familiar sucrose.

The high molecular mass carbohydrates are called polysaccharides. Their properties differ significantly from those of sugars. They do not taste sweet and dissolve in water.

All carbohydrates are composed of units of monosaccharides or their derivatives. Carbohydrates are usually classified by the number of molecules. A monosaccharide contains a chain of a limited number (five to seven) of carbon atoms. In disaccharides (disaccharide, trisaccharide, etc.) and in polysaccharides, the units are linked together through glycosidic oxygen atoms.

Oligosaccharides are considered low molecular mass carbohydrates. There is, however, no definite borderline between oligosaccharides and the polysaccharides. In general, they rarely contain

more than 5, and polysaccharides of less than 100, monosaccharide units.

Most monosaccharides, oligosaccharides, and polysaccharides have the common molecular formula $C_n(H_2O)_n$, (from which the common name "carbohydrates" is derived), in which n is either equal to m (monosaccharides) or a little larger than m (oligo- and polysaccharides). Oligomers are formed by condensation (formal elimination of water) of monosaccharide units, and conversely oligo- and polysaccharides can be degraded to monosaccharides by hydrolysis. The whole biomass on earth has been estimated to be about 90% carbohydrates, which means that the molecular formula of the total organic matter on earth is only slightly different from that of the carbohydrates.

Carbohydrates have important functions: primarily they supply chemical energy to the living cell and serve as raw materials for the construction of other natural products. Carbohydrates are mainly formed in the photochemical carbon dioxide fixation in green plants, a complicated biochemical process which provides for the efficient conversion of solar energy into chemical energy. The uses of carbohydrates for the supply of primary energy and as raw materials in synthetic organic chemistry represent an important facet of present and future technology.

Carbohydrates are industrially utilized to a considerable extent. Glucose [50-99.7] is the

02-JUN 18:41 FR VON:WIE ED SMULDERS

+49 211 7988680

AN:+49 211 79814011

SEITE: 03

80 Carbohydrates

starting material for the production of ascorbic acid). Maltose [69-7] is the enzymatic degradation product of maltitol, which is used as a sweetener. A similar sweetener, maltitol [64519-83-0], a mixture of alcohols α -D-glucopyranositol [20942-99-8] and β -D-(1 → 6)-D-glucitol [134-73-6], obtained by reduction of isomaltose [13718-94-0], a product of enzymatic isomerization of sucrose. Fructose [57-4-4] itself is used as a sweetener by diabetics.

Many nonionic detergents are derived from carbohydrates. Used in soap are less irritating than their organic counterparts and can easily be degraded before causing no environmental problems. Polysaccharides are produced and have a variety of applications. These are for blood serum (dextran), starch (starch), cellulose, plastic materials (cellulose), additives to food and pharmaceuticals and cosmetics (starch, plant and microbial fluids in oil fields). Cellulose is or cotton is one of the most important raw materials.

Production. Natural carbohydrates are obtained exclusively from biological syntheses are impractical, as the α -epimerization of D-glucose: monosaccharide, α -D-glucose, which is tedious. Chemical syntheses of oligosaccharides by coupling suitably substituted monosaccharides are extremely difficult and the chemical synthesis is almost impossible. One possibility is the polycondensation of 1,6-anhydro sugar derivatives, levoglucosans, which yields polyglucosans.

1. Monosaccharides

Monosaccharides are either aldehydes (-oses, aldoses) or ketones (-uloses, ketoses). The carbon skeleton is linear (in most all ketoses the carbonyl group is located at carbon atom 2). Most monosaccharides have either six (hexoses and hexuloses)

or seven (heptuloses) carbon atoms. Monosaccharides with fewer carbon atoms (trioses, tetroses, and pentoses) or more carbon atoms (heptoses, octoses, heptuloses, octuloses, etc.) are rare. The most important and abundant monosaccharides are hexoses: D-glucose, D-mannose, and D-galactose. D-Fructose is a hexulose. Ubiquitous pentoses are L-arabinose, D-xylose, and D-ribose.

Stereoisomerism. Monosaccharides (as polyhydroxy aldehydes or ketones) possess several asymmetric carbon atoms. Hexoses have four, heptuloses and pentoses three, and pentuloses two chirality centers. The existence of several asymmetric carbon atoms in one molecule gives rise to families of stereoisomers. For a hexose with four asymmetric carbon atoms, there exist $2^4 = 16$ stereoisomers, eight of which are enantiomers (mirror images) of the other eight. Hexuloses exist in four pairs of enantiomers, pentuloses and tetroses in two. The diastereomeric monosaccharides (diastereomers are stereoisomers that are not mirror images of each other) have trivial names. In Figure 1, D-hexoses, D-heptuloses, D-pentoses, and D-tetroses are represented as open-chain Fischer projections. In the Fischer projection all carbon atoms form a bow with the convex part pointing toward the viewer. According to the Fischer convention, the orientation of the hydroxyl group attached to the highest numbered asymmetric carbon atom determines whether a monosaccharide belongs to the D- or the L-series. If this group is positioned on the right of the carbon chain, assignment is to the D-series, and vice versa. Most naturally occurring monosaccharides have the D-configuration. The chirality of carbohydrates makes this class of natural compounds an ideal source for the preparation of chiral synthons (intermediates for chemical synthesis), which are needed for the syntheses of pharmaceuticals, agrochemicals, pheromones, etc. [26], [27].

Pyranoses and Furanes. Monosaccharides form cyclic intramolecular hemiacetals if their carbon skeleton permits. The relative stabilities of these hemiacetals depend on the ring size. In general, six-membered rings (pyranoses) are most common, whereas only a few five-membered rings (furanoses) are stable. Smaller rings are unstable, and larger ones can only be detected in aqueous equilibrium mixtures. Structures of the cyclic hemiacetals are represented by the Haworth projection formulas of the D-series

Vol. A 8

Vol. A

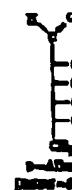


Figure 1

Figure 2
Version

02-JUN 10:42 FR UCN:WME ED SMULDERS

+49 211 7988688

ANI +49 211 79814011

SETTE:84

Vol. A 5

Cellulose 975

Cellulose

HANS KELLER, Seewalchen, Austria
 ROBERT G. STRADMAN, Texas Tech University, Lubbock, Texas, United States (Chap. 2)
 KARL SCHLUMPF, Textilforschungsinstitut Krefeld, Krefeld, Federal Republic of Germany (Chap. 2.1.1)
 WILHELM ALBRECHT, Wuppertal, Federal Republic of Germany (Chap. 3)

1.	Cellulose	375	1.3.	Jute	398
1.1.	Properties	376	2.4.	Pine	399
1.1.1.	Molecular Structure	376	2.5.	Hemp	399
1.1.2.	Supramolecular Structure (Texture)	380	2.6.	Leaf (Hard) Fibers	399
1.1.3.	Physical Properties	383	2.7.	Cat	400
1.1.4.	Chemical Properties	383	2.8.	Economic Aspects	400
1.2.	Occurrence	389	2.9.	Occupational Health	400
1.3.	Production	390	3.	Regenerate Cellulose	400
1.4.	Quality Testing	390	3.1.	Viscose Fibers	401
1.5.	Applications	391	3.1.1.	Principle of the Viscose Process	401
2.	Natural Cellulosic Fibers	391	3.1.2.	Viscose Preparation	402
2.1.	Cotton	392	3.1.3.	Viscose Fiber Spinning	403
2.1.1.	Molecular Arrangement, Morphology, and Fine Structure	392	3.1.4.	Fiber Types	406
2.1.2.	Properties	394	3.1.5.	Modified Viscose Fibers	408
2.1.3.	Production	396	3.1.6.	Fiber Properties	409
2.1.3.1.	Harvesting	396	3.1.7.	Uses	412
2.1.3.2.	Cleaning	396	3.1.8.	Economic Aspects	412
2.1.3.3.	Byproducts	396	3.2.	Cuprammonium Fibers	413
2.1.3.4.	Processing	397	3.3.	Tenacity Cellulose Fiber Production by Other Processes - Outlook	415
2.1.3.5.	Finishing	397	4.	References	416
2.1.3.6.	Special Finishes	397			
2.2.	Bast (Soft) Fibers	398			

1. Cellulose

Cellulose [9004-34-6] deserve a special position among the industrially used materials for two general reasons. First, cellulose belongs to the natural products which, finally, are inexhaustible since it is created by nature in relatively short time. As long as we ensure that the trees, forests and cotton plants are not damaged by destructive lumbering, we can expect regular and substantial annual reproduction.

According to reference [8], the annual yield of cellulosic matter resulting from

a special position among the industrially used materials for two general reasons. First, cellulose belongs to the natural products which, finally, are inexhaustible since it is created by nature in relatively short time. As long as we ensure that the trees, forests and cotton plants are not damaged by destructive lumbering, we can expect regular and substantial annual reproduction.

annual yield hotoinitiated

biosynthesis amounts to approximately 1.3×10^9 metric tons. A tree produces an average of 13.7 g of cellulose daily. If they were lined up, the cellulose chain molecules formed each day would result in a string of 2.62×10^{10} km in length, or 175 times the distance between the sun and the earth.

In wood, cellulose is part of an ingeniously constructed fiber-reinforced composite in which long, stiff cellulose chain molecules organized in thin fibrils constitute the plant reticulum material held together and protected by hydrophobic lignin acting as binder and encasement.

To isolate cellulose from wood for industrial applications, the wooden composite must be broken up by so-called pulping processes. In

02-JUN 10:43 FR UDN:MAE ED SMULDERS

+49 211 7988698

ANI: +49 211 79914911

SETTE: 25

375 Cellulose

these treatments, other wood constituents, such as lignin and hemicellulose, are degraded and dissolved. Tests have found only limit wood pulp manufacturers pulping liquor to contain 50% solids. The organic products produce steam and electric energy, pulping chemicals, ammonium base and sulfite are recovered. They have practically solved the environmental problems of the wood pulp industry.

Both cellulose and lignin are biodegradable and, thus, cellulose will decompose in the open air, such as paper or cellulose pose and eventually form industrial use, environmental damage caused by cellulose or lignin used in the isolation or processing and transfix derivatives, films, or fibers. The main task of modern cellulose development of novel polymers or only a few ecological cellulose efforts are successful and strengthenable and environmentally important raw material: synthetically produced polymer.

1.1. Properties

1.1.1. Molecular Structure

Cellulose is an isotactic polyglucoside (β -D-glucopyranose). The actual base unit, i.e., two molecules of glucose can also be considered as a (syndiotactic) polyacetal of glucose.

Basic Structure. The basic chemical formula of cellulose is the following:

$$C_{6}H_{10}O_5 \cdot n = (C_6H_{10}O_5)_n$$

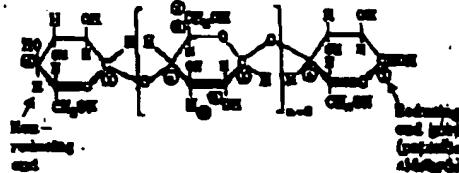
where n = the degree of polymerization; n = the number of units in the chain.

The elemental composition of 44.4% C, 6.2% H, and 49.4% O was already known to PAVEN in 1842 [9]. The molecular mass of the

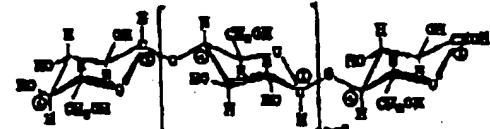
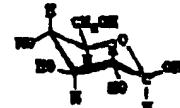
glucose base unit is $M_w = 162$, and the molecular mass of the cellulose polymer is

$$M_w = n \cdot M_p = 162 \cdot n$$

Constitutional Formula. HAWORTH [10] first discovered the covalent bonds inside and between the glucose units while STAUDINGER [11] found the final proof for the macromolecular nature of the cellulose molecule.



Conformational Formula. The glucopyranose ring adopts a 4_c chair conformation, as revealed by recent X-ray crystallography and nuclear magnetic resonance studies [12], [13] with glucose. The chair conformation exhibits a free stabilization enthalpy of $G_f = -20.05$ kJ/mol [14]. In this conformation, the three hydroxyl groups are positioned in the ring plane while the hydrogen atoms are in a vertical position. It seems only natural to assume that the same conformation also exists in the cellulose molecule.



Structural Anomalies. As a naturally occurring polymer, cellulose always contains small amounts of other constituents in addition to glucose (over 99%). These may already be partially built into or onto the cellulose molecule during biosynthesis, such as lignin-cellulose complexes [15]. Most of the changes in the molecular structure, however, result from secondary reactions, i.e., hydrolysis or oxidation, during isolation from natural sources. For morphological reasons, such chemical changes occur preferably in

02-JUN 10:44 FR UON:HAE ED SMULDERS

+49 211 7999680

AN+49 211 79814011

SEITE:26

16

Vol. A5

the accessible interlinking regions of the crystallites of the elementary fibrils or their aggregates. The glucosidic linkages, especially if oxidized, are also present in cotton, split 1000–3000 times faster than glucosidic linkages inside the well-ordered crystallites. The rate of hydrolysis, as proposed [16], is hard to determine. In the hydrolysis, all glucosidic linkages split at the same rate [17].

Cellulose always contains carboxyl groups: one –COOH group per 100–1000 AHG units; in cotton, one –COOH group per 100–300 AHG units.

Molecular Size. The molecular size can be defined by its average (\bar{M}_n) or its average degree of polymerization (\bar{P}_n); whereby $\bar{M}_n = P_n M_0$ (M_0 = molar mass of the base unit, i.e., of glucose in cellulose).

By investigation of certain cellulose or polyhomolog derivatives solutions, the average degree of polymerization can be determined. The number average degree of polymerization of celluloses of various

For such physical investigations, aqueous copper(II) hydroxide (Schwartz's reagent), per(II)ethylenediamine hydroxide (Cotton), alkaline complexes of cadmium or nickel. Cellulose trinitrate (CTN) or cellulose trichloroformate (CTC) solutions in appropriate solvents are also suitable for such studies. In the latter, it should be kept in mind that degradation often occurs in such conditions.

Table I. Degree of polymerization of celluloses of different origin [18]

Type of cellulose	P_n
Cotton, raw	7 000
Cotton, raw (according to Russian work)	14 000
Cotton, purified	1 500–300
Cotton fibers	6 500
Fibril	8 000
Ramie	6 500
Cellulose (isolated from wood fibers)	1 100–800
Spruce, pulped	3 300
Beech, pulped	3 050
Aspen	2 300
Fir	2 200
Bacterial cellulose	2 700
Actinobacter cellulose	600

is between the areas of their aggregates. The glucosidic linkages, especially if oxidized, are also present in cotton, split 1000–3000 times faster than glucosidic linkages inside the well-ordered crystallites. The rate of hydrolysis, as proposed [16], is hard to determine. In the hydrolysis, all glucosidic linkages split at the same rate [17].

Cellulose always contains carboxyl groups: one –COOH group per 100–1000 AHG units; in cotton, one –COOH group per 100–300 AHG units.

size of a polymer molecule can be defined by its average molecular size or average degree of polymerization (\bar{P}_n). The size of a polymer molecule is the molar mass of the base unit, i.e., of glucose in cellulose).

By investigation of certain cellulose or polyhomolog derivatives solutions, the average degree of polymerization can be determined. The number average degree of polymerization of celluloses of various

For such physical investigations, aqueous copper(II) hydroxide (Schwartz's reagent), per(II)ethylenediamine hydroxide (Cotton), alkaline complexes of cadmium or nickel. Cellulose trinitrate (CTN) or cellulose trichloroformate (CTC) solutions in appropriate solvents are also suitable for such studies. In the latter, it should be kept in mind that degradation often occurs in such conditions.

Cellulose 377

Light scattering studies performed on dilute solutions of cellulose or cellulose derivatives will yield the weight average (\bar{M}_w) and osmotic measurements the number average (\bar{M}_n) of the molecular mass (or the corresponding average degrees of polymerization; \bar{P}_w or \bar{P}_n). Sedimentation experiments in an ultracentrifuge enable the determination of a higher order average molecular mass, the so-called "Z-average" (\bar{M}_z). These various quantities are defined as follows:

$$\bar{M}_w = \frac{\sum N_i \cdot M_i}{\sum N_i} \quad \text{or} \quad \bar{P}_w = \frac{\sum N_i \cdot P_i}{\sum N_i} \quad (\text{weight average})$$

$$\bar{M}_n = \frac{\sum N_i \cdot M_i}{\sum M_i \cdot N_i} \quad \text{or} \quad \bar{P}_n = \frac{\sum N_i \cdot P_i}{\sum N_i \cdot P_i} \quad (\text{number average})$$

$$\bar{M}_z = \frac{\sum N_i \cdot M_i^2}{\sum N_i \cdot M_i} = \frac{\sum N_i \cdot P_i^2}{\sum N_i \cdot P_i} \quad (\text{Z-average}),$$

whereby $N_i = 162 P_i$; $i =$ fraction 1, 2, 3, ...; N = number of molecules with M_1, M_2, \dots, M_i or P_1, P_2, \dots, P_i .

The simplest and most widely applied practical method for the determination of the degree of polymerization is based on measuring the "intrinsic viscosity" $[\eta]$ (Staudinger index). The intrinsic viscosity expresses the reduced viscosity of a solution at an infinitely small concentration. The latter can be derived from the relative viscosity, which is the ratio of the flow time of the dilute polymer solution of a given concentration (t_p) and that of the solvent (t_s) in a capillary viscometer:

$$\eta_{sp} = t_s/t_p$$

$$\eta_r = \frac{\eta_{sp} - 1}{c}$$

wherein $c =$ concentration of the cellulose or its derivative in the solution.

The degree of polymerization can be calculated from $[\eta]$ by using the formula:

$$\bar{P}_n = \lim_{c \rightarrow 0} \eta_{sp} = K_c P_n \quad (\text{or} \quad K_c \bar{M}_n)$$

The definition of \bar{P}_v (viscosity average of the degree of polymerization) is as follows:

$$\bar{P}_v = \left(\frac{\sum w_i P_i^{0.75}}{\sum w_i P_i} \right)^{1/0.75}$$

wherein $w_i =$ weight fraction of a molarly uniform fraction with a degree of polymerization of P_i ; $\bar{P}_v =$ viscosity average of the degree of polymerization which for cellulose or cellulose derivative solutions closely resembles the weight average \bar{P}_w ; and $\bar{M}_v =$ viscosity average of the molecular mass.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
-
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER: _____**

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.